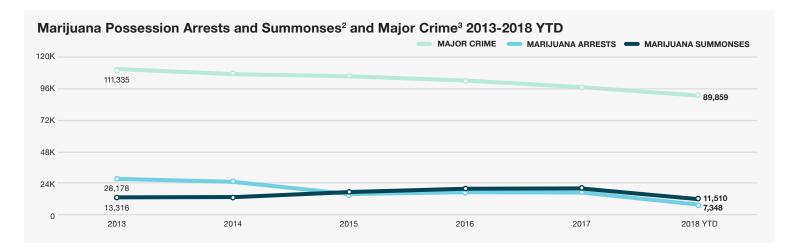
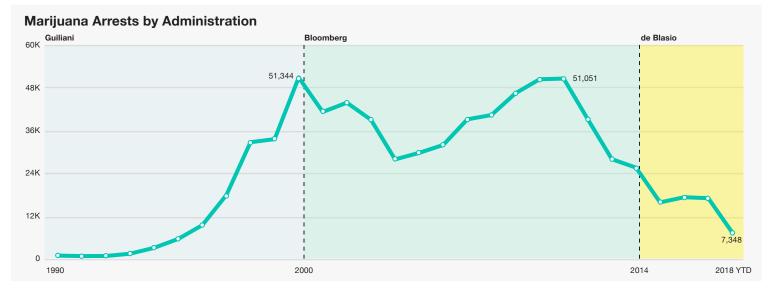
New York State Law: Marijuana: In New York State, it is illegal to smoke or possess marijuana.¹ Smoking or possessing a small amount of marijuana in public is a class B misdemeanor, which is punishable by up to three months in jail. Private possession of marijuana is a violation, which is punishable by up to 15 days in jail or a fine. (See Appendix A for statute.)

Low level offenses, such as smoking or possessing marijuana, are generally classified as misdemeanors or violations. Most low level offenses result in either a civil or criminal summons, which are tickets to return to court at a later date, but some result in an arrest. Failure to appear in court after a criminal summons or an arrest can result in a warrant. There is no warrant associated with civil offenses.

Marijuana Arrests in NYC are Down: Over the past five years, NYC has lightened the touch of marijuana enforcement through policy changes. Marijuana enforcement has declined as crime in NYC has also declined.

Marijuana arrests are down by approximately 57% since last year.





Average annual arrests for marijuana are down by approximately 50% since 2013.

Mayor	Marijuana Arrests per Year	Change in Annual Arrests from Previous Administration
Giuliani	24,220	+2,575%
Bloomberg	38,361	+58%
de Blasio	19,058	-50%

Sources: NYPD through 9/30/18, MOCJ Analysis of 2018 Computerized Criminal History data (through 11/23) provided by DCJS. See Appendix B.

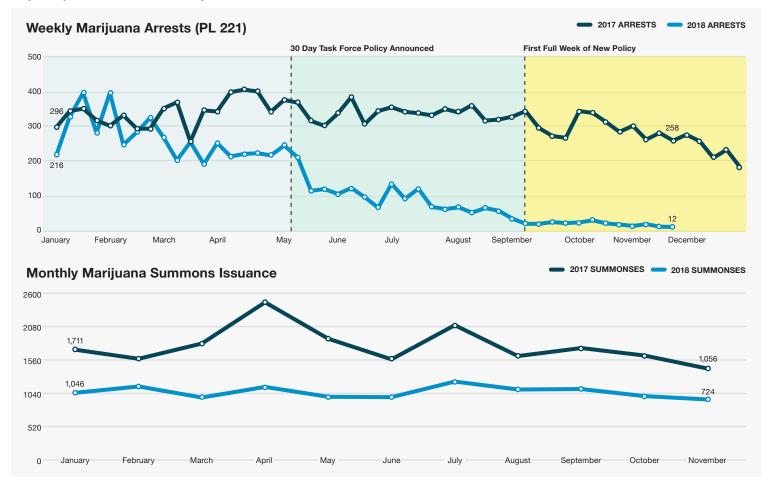
¹ Under the Compassionate Care Act in New York State, there is an exception for the use of medical marijuana.

² Includes arrests for PL 221.001 (criminal possession of marihuana in the 5th degree) and summonses issued for PL 221.05 (unlawful possession of marihuana). Unless otherwise noted, "marijuana arrests" refer to PL 221.10 01. See Appendix A. 3 Major crime includes murder, rape, robbery, felony assault, burglary, grand larceny, and grand larceny auto.

Marijuana Possession Arrests are Down Due to Recent Policy Changes:

- **1. Change in Public Possession Enforcement:** In November of 2014, the NYPD changed its policy to issue criminal summonses instead of arresting for possession of marijuana in open view, with some exceptions. That policy led to a 37% decline in arrests from 2014 to 2015.
- **2. Change in Public Consumption Enforcement:** In May of 2018, at the Mayor's request, the Police Commissioner convened a 30-day working group to determine a new policy for marijuana enforcement in the city. That group recommended issuing criminal summonses instead of making arrests for marijuana consumption in public. The NYPD changed its policy to issue criminal summonses for marijuana consumption in public with some exceptions. Despite the fact that the policy did not go into effect until September 1, 2018, marijuana arrests declined immediately after the policy was announced in June 2018.

Since the NYPD changed its policy for public consumption enforcement, marijuana arrests (PL 221)⁴ are down (92%), and criminal summonses for marijuana are also down (30%). In November, criminal summonses for marijuana dropped by 31% compared to 2017 (from 1,056 in 2017 to 724 in 2018).

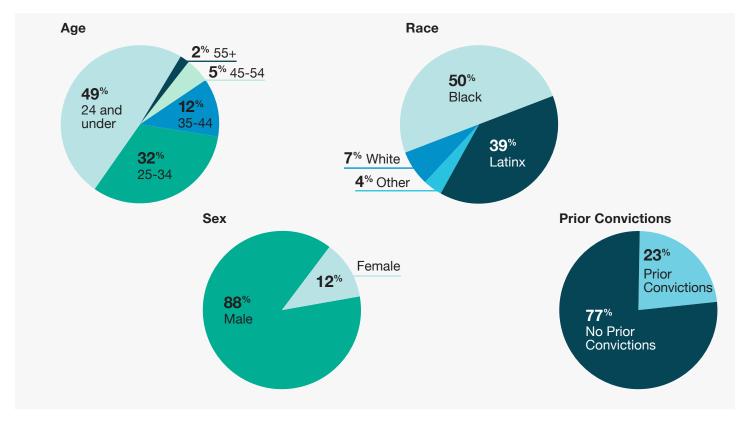


In 2018, there have been approximately 10,000 arrests for misdemeanor marijuana offenses (PL 221). Marijuana arrests are the fourth most common misdemeanor arrest charge. They make up ~9% of all misdemeanor arrests.

Offense	2018 Rank	2013 Rank	Total Arrests	Percent of Misdemeanor Arrests
Assault (PL 120)	1	2	~27,400	25%
Petit Larceny (PL 155)	2	4	~17,400	16%
Possession of Controlled Substance (PL 220)	3	5	~11,100	10%
Marijuana Offenses (PL 221)	4	3	~9,800	9%
Other Theft (Incl. Fare Evasion) (PL 165)	5	1	~8,400	8%

Sources: NYPD through 11/30/18. See Appendix B; MOCJ Analysis of 2018 Computerized Criminal History data (through 11/23) provided by DCJS

Who is Arrested for Marijuana?



Race: In 2013, approximately 86% of marijuana possession arrests were of Black or Latinx people. That number in 2018 is 89%. This disparity has persisted despite declines in arrests and despite evidence that rates of marijuana use are roughly the same for White and Black people.⁵

In the first six months of 2018, 72% of criminal summonses for marijuana possession were issued to Black or Latinx people.

Enforcement Type	Percent Bl	ack or Latin	x			
	2013	2014	2015	2016	2017	2018
Marijuana Convictions	94%	95%	95%	95%	93%	n/a
Marijuana Arrests	87%	88%	89%	86%	87%	89%
Marijuana Summonses ⁶	n/a	n/a	n/a	n/a	72%	72%

This arrest disparity is larger than disparities for other misdemeanor arrests, felony arrests, and admissions to jail.

Race	DOC Admissions	All Arrests	Felony Arrests	Misdemeanor Arrests	Marijuana Arrests
% Black & Latinx	84%	82%	84%	80%	89%

Note: All percentages for 2018

Sources: MOCJ Analysis of 2018 Computerized Criminal History data (through 11/23) provided by DCJS. See Appendix B; NYPD Summons Data (through 6/30/18).

Neighborhoods: Before and after the policy changes, many of the neighborhoods with the highest levels of marijuana enforcement remained the same. These neighborhoods tend to have higher numbers of Black and Latinx residents.

Neighborhood	Arrest Rank		Neighborhood	Summons F	Rank
	2018 YTD	2017		2018 YTD	2017
South Bronx	1	2	Queens Village	1	1
Bedford Park	2	15	Woodside	2	2
Kensington	3	12	Eastchester	3	4
Soundview	4	4	East New York	4	3
Morris Heights	5	6	Kensington	5	7
East Harlem	6	3	University Heights	6	17
Fordham	7	37	Morris Heights	7	6
Brownsville	8	13	Gramercy	8	11
Coney Island	9	9	St. George	9	8
University Heights	10	40	Schuylerville	10	9
Note: PL 221, Out of 77 Precincts.			Note: PL 221, Out of 77 Precincts		

Neighborhoods with high arrest volume also tend to have high poverty rates.

Six of the precincts with the highest poverty rates are also in the top 10 for marijuana arrest volume.

Neighborhood	Marijuana Arrest Volume Rank	Poverty Rate Rank
South Bronx	1	2
Bedford Park	2	10
Kensington	3	30
Soundview	4	16
Morris Heights	5	7
East Harlem	6	13
Fordham	7	1
Brownsville	8	8
Coney Island	9	24
University Heights	10	4

Age: Most marijuana arrests are of young people, with 28% of arrestees under the age of 25 and 61% under the age of 35. Summonses are issued to a slightly older population, a quarter of whom are over 45.

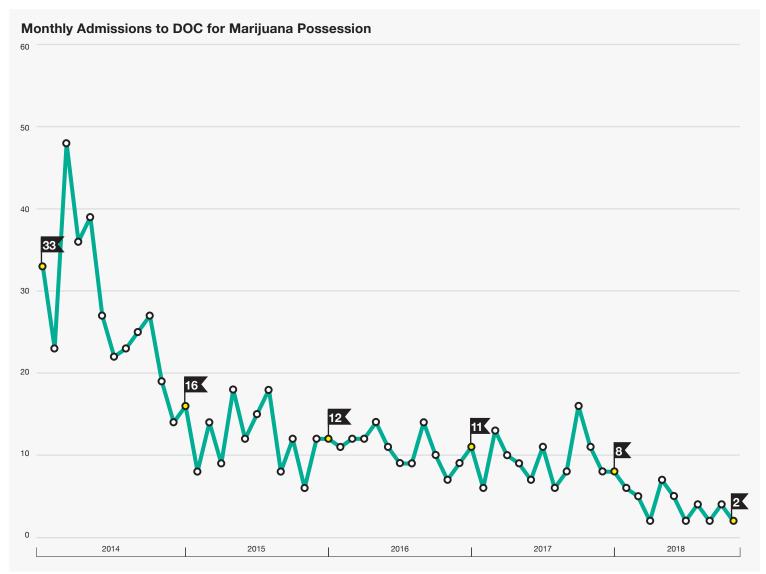
Age	Percents of Arrests	Percents of Summonses
24 & Under	28%	27%
25-44	52%	50%
45+	20%	24%

Criminal conviction history: Most people arrested for marijuana have no prior convictions. As enforcement has declined, the proportion of arrested people with no criminal history has increased.

Year	Marijuana Possession Arr	rests	
	No Prior Convictions	Prior Convictions	Percent with No Prior Convictions
2013	18,531	9,647	66%
2014	18,380	7,408	71%
2015	11,697	4,236	73%
2016	13,028	4,365	75%
2017	13,746	3,375	80%

What Happens to People Who Get Arrested for Marijuana?

Marijuana arrests rarely result in jail time: Since October 1st, 2018, there have been 6 people detained in a city jail on misdemeanor marijuana possession charges (PL 221.10 01). Sometimes the cause for that detention is a warrant or hold on another case. On any given day in 2018, there has been one person in DOC custody for a misdemeanor marijuana possession charge⁷ Any arrest, even one that does not result in any jail time, is very disruptive and subsequent convictions can have implications for, among other things, immigration, housing, employment, or financial aid.

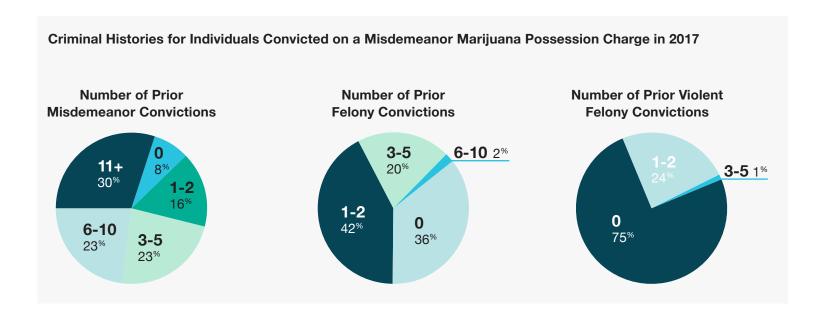


Sources: MOCJ Analysis of 2018 Computerized Criminal History data (through 11/23) provided by DCJS; DOC (through Nov. 2018). See Appendix B.

Annual convictions: In 2017, 7% of those convicted for marijuana were first time offenders; 93% had a criminal history.

Year	Convicted to Marijuana Poss	session Charge	
	No Prior Convictions	Prior Convictions	Percent with No Prior Convictions
2008	514	5,165	8%
2009	510	5,675	8%
2010	410	5,192	7%
2011	414	4,723	7%
2012	375	4,168	8%
2013	235	2,771	7%
2014	170	2,191	6%
2015	107	1,087	8%
2016	95	1,108	7%
2017	85	899	7%

Individuals who are convicted of misdemeanor marijuana possession charges have criminal histories that include mostly misdemeanor convictions. Few have prior felony convictions or prior violent felony convictions.



Warrants: People who do not show up to court are issued a warrant and could be arrested if they come into contact with law enforcement. 35% of criminal summonses for marijuana result in a warrant, and there are currently 10,500 outstanding summons warrants for marijuana, most from the last 10 years.

APPENDIX A

§ 221.05 Unlawful possession of marihuana. A person is guilty of unlawful possession of marihuana when he knowingly and unlawfully possesses marihuana. Unlawful possession of marihuana is a violation punishable only by a fine of not more than one hundred dollars. However, where the defendant has previously been convicted of an offense defined in this article or article 220 of this chapter, committed within the three years immediately preceding such violation, it shall be punishable (a) only by a fine of not more than two hundred dollars, if the defendant was previously convicted of one such offense committed during such period, and (b) by a fine of not more than two hundred fifty dollars or a term of imprisonment not in excess of fifteen days or both, if the defendant was previously convicted of two such offenses committed during such period.

§ 221.10 Criminal possession of marihuana in the fifth degree. A person is guilty of criminal possession of marihuana in the fifth degree when he knowingly and unlawfully possesses:

- 1. marihuana in a public place, as defined in section 240.00 of this chapter, and such marihuana is burning or open to public view; or
- 2. one or more preparations, compounds, mixtures or substances containing marihuana and the preparations, compounds, mixtures or substances are of an aggregate weight of more than twenty-five grams.

Criminal possession of marihuana in the fifth degree is a class B misdemeanor.

APPENDIX B

Arrests for all PL 221 Offenses

7-Jan 296 216 8-Jul 340 92 14-Jan 342 326 15-Jul 336 121 21-Jan 349 394 22-Jul 330 69 28-Jan 316 280 29-Jul 348 63 4-Feb 300 394 5-Aug 338 68 11-Feb 330 246 12-Aug 359 53 18-Feb 292 282 19-Aug 315 66 25-Feb 292 323 26-Aug 318 58 4-Mar 350 267 2-Sep 326 36 11-Mar 367 202 9-Sep 342 21 18-Mar 255 254 16-Sep 294 21 25-Mar 344 191 23-Sep 271 26 1-Apr 341 251 30-Sep 266 23 8-Apr 396 213 7-Oct	Week Ending Date	2017 Arrests	2018 Arrests	Week Ending Date	2017 Arrests	2018 Arrests
10-Jun 382 123 9-Dec 256 17-Jun 305 97 16-Dec 209 24-Jun 342 67 23-Dec 232 1-Jul 353 135 30-Dec 182	14-Jan 21-Jan 28-Jan 4-Feb 11-Feb 18-Feb 25-Feb 4-Mar 11-Mar 18-Mar 25-Mar 1-Apr 8-Apr 15-Apr 22-Apr 29-Apr 6-May 13-May 20-May 27-May 3-Jun 10-Jun 17-Jun 24-Jun	342 349 316 300 330 292 292 350 367 255 344 341 396 403 398 340 374 366 314 300 335 382 305 342	326 394 280 394 246 282 323 267 202 254 191 251 213 220 222 216 244 210 115 120 106 123 97 67	15-Jul 22-Jul 29-Jul 5-Aug 12-Aug 19-Aug 26-Aug 2-Sep 9-Sep 16-Sep 23-Sep 30-Sep 7-Oct 14-Oct 21-Oct 28-Oct 4-Nov 11-Nov 18-Nov 25-Nov 2-Dec 9-Dec 16-Dec 23-Dec	336 330 348 338 359 315 318 326 342 294 271 266 342 337 311 283 300 261 280 258 275 256 209 232	121 69 63 68 53 66 58 36 21 21 26 23 25 31 22 19 15 20 13

Criminal Summons Issuance for Marijuana Possession (PL 221.05)

Month	2017 Summonses	2018 Summonses
Jan	1,711	1,046
Feb	1,567	1,154
Mar	1,810	966
Apr	2,466	1,146
May	1,886	978
Jun	1,558	972
Jul	2,102	1,237
Aug	1,610	1,102
Sep	1,738	1,110
Oct	1,619	990
Nov	1,411	932

APPENDIX B

Misdemeanor Marijuana Possession (PL 221.10) Arrests

Year **Arrests** 826 1990 1991 706 1992 722 1993 1,368 3.042 1994 1995 5,562 1996 9,478 1997 18,026 1998 32,996 1999 33,873 2000 51,344 41,588 2001 2002 44,209 2003 39,359 2004 28,124 2005 29,980 2006 32,228 2007 39,487 2008 40,712 2009 46,895 2010 50,857 2011 51,051 2012 39.500 2013 28,178 2014 25,788 2015 15,933 2016 17,393 17,121 2017 2018 YTD 7,348

Demographics for Misdemeanor Marijuana Possession (PL 221.10) Arrests

Possession (F		,	A
Age	Arre	ests	
24 and Under 25-34 35-44 45-54 55+	3,624 2,359 866 362 137		
35 +	137		
Race	Arre	ests	
White Black Hispanic Other	514 3,64 2,899 298		
Sex	Arre	ests	
Male Female	15,08 2,03		
D.1.			
Prior Convictions		Arre	sts
Prior Convictions No Prior Convict		3,875 13,24	

Note: 2013-2018 YTD include just PL 221.10 01

Admissions to DOC on a Top Charge of PL 221.10.01

Month	2014	2015	2016	2017	2018
Jan	33	16	12	11	8
Feb	23	8	11	6	6
Mar	48	14	12	13	5
Apr	36	9	12	10	2
May	39	18	14	9	7
Jun	27	12	11	7	5
Jul	22	15	9	11	2
Aug	23	18	9	6	4
Sep	25	8	14	8	2
Oct	27	12	10	16	4
Nov	19	6	7	11	2
Dec	14	12	9	8	

Criminal Histories for Individuals Convicted on a Misdemeanor Marijuana Possession Charge in 2017

Number of Prior Misdemeanor Convictions

None	8%	59
1 to 2	16%	114
3 to 5	23%	163
6 to 10	23%	160
11+	29%	207

Number of Prior Felony Convictions

None	36%	250
1 to 2	42%	293
3 to 5	20%	144
6 to 10	2%	16

Number of Prior Violent Felony Convictions

None	75%	525
1 to 2	24%	170
3 to 5	1%	8

APPENDIX C

SEALING STATUTES OVERVIEW: CPL 160.58:

- Allows the court or the defendant to make an application to seal convictions for up to 3 eligible misdemeanors
 - DA's Offices are provided minimum 30 day notice to comment to the court on the application
- There are four categories the court can consider when sealing a conviction:
 - 1. The circumstances and seriousness of the offense or offenses that resulted in the conviction or conviction;
 - 2. The character of the defendant, including his or her completion of the judicially sanctioned treatment program as described in subdivision one of this section;
 - 3. The defendant's criminal history; and
 - 4. The impact of sealing the defendant's records upon his or her rehabilitation and his or successful and production re-entry and reintegration into society and on public safety

CPL 160.59:

- Allows people who have been convicted in no more than two cases (only one of which can be a felony) to apply to seal certain convictions, if:
 - One or none of the cases were felonies and it has been at least 10 years since sentencing or release
- Convictions for the following crimes are not eligible to be sealed:

Sex offense defined in Penal Law Article 130

Offense requiring registration as a sex offender

Sexual performance by a child defined in Penal Law Article 263

Class A felony

Violent felony defined in Penal Law § 70.02

Felony conspiracy to commit an ineligible offense

Felony attempt to commit an ineligible offense

CURRENT SEALING APPLICATION METHODS:

- OCA provides an online application process on their website: nycourts.gov/FORMS/cpl 160.59 sealing application/index.shtml
- Individuals can contact their previous attorneys